Protocol DAO Reference Case

Compliant DAO Framework V1.0 — Implementation Example

Case Type: Hypothetical Reference Structure

Purpose: Demonstrate how a decentralized protocol governance system can satisfy all requirements of the Compliant DAO Framework V1.0

Status: Reference Only (Not a Real Entity)

Date: December 2025

1. Overview

Protocol DAO is a reference implementation demonstrating how a DeFi protocol governance structure can achieve accountability containment under the Compliant DAO Framework V1.0.

Key Characteristics

• Governance Type: Token-based voting
• Protocol Function: Decentralized finance protocol (lending/AMM)
• Asset Scale: Treasury + protocol-controlled assets
• Jurisdictional Design: Operator Entity in established crypto-friendly jurisdiction

4. Verification Outcome

Rule	Status	Notes
Rule 1: Execution Isolation	∎ PASS	Foundation is sole executor
Rule 2: Governance Non-Control	∎ PASS	All proposals route through Rule Engine
Rule 3: Asset Containment	∎ PASS	Multisig + Rule Engine required
Rule 4: Responsibility Mapping	∎ PASS	Published map with exclusions
Rule 5: Auditability	∎ PASS	Complete audit trail

Final Determination: ALLOWED

Protocol DAO's governance structure satisfies all requirements of the Compliant DAO Framework V1.0.

7. Disclaimer

This reference case is a hypothetical structure designed to illustrate compliance with the Compliant DAO Framework V1.0. It does not constitute legal advice, guarantee regulatory approval, or replace independent legal review.

Status: Reference Implementation for Compliant DAO Framework V1.0
Version: 1.0
Date: December 2025
Authority: RuleMark